

**FACILITY ASSESSMENT**

Panel Discussion  
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**Where do I start and What do I need to do?**

- We are going to do a brief overview of the requirements and then provide you the tools and data gathering tool to use.
- First a quick review of what areas it is covered in.

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**Nursing Services (§ 483.35)**

CMS is adding a competency requirement for determining :

- the sufficiency of nursing staff, based on a facility assessment, which includes but is not limited to the number of residents, resident acuity, range of diagnoses, and the content of individual care plans.

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### Nursing Services (§ 483.35)

What should I do?:

- Begin your facility assessment
- Competency Approach:
  - *What skills are necessary to provide care for residents and their complexities?*
  - *Competency/Skills fairs*

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### Administration (§ 483.70)

We have largely relocated various portions of this section into other sections of subpart B as deemed appropriate:

- Facilities are required to address in the facility assessment the facility's resident population (that is, number of residents, overall types of care and staff competencies required by the residents, and cultural aspects), resources (for example, equipment, and overall personnel), and a facility-based and community-based risk assessment.

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### Facility Assessment Requirement

**(483.70(e))**

- A new requirement for a center-wide assessment that would determine what resources a center needs to care for its residents competently both during day-to-day operations and in emergencies.
- Serves as a central feature of the new requirements and is intended to be used for multiple purposes, including activities such as:
  - *determining staffing requirements*
  - *establishing a QAPI program*
  - *conducting emergency preparedness planning*

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### Facility Assessment: Residents

- The facility's resident population, including, but not limited to,
- (i) Both the number of residents and the facility's resident capacity;
  - (ii) The care required by the resident population considering the types of diseases, conditions, physical and cognitive disabilities, overall acuity, and other pertinent facts that are present within that population;
  - (iii) The staff competencies that are necessary to provide the level and types of care needed for the resident population;
  - (iv) The physical environment, equipment, services, and other physical plant considerations that are necessary to care for this population; and
  - (v) Any ethnic, cultural, or religious factors that may potentially affect the care provided by the facility, including, but not limited to, activities and food and nutrition services.

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### Facility Assessment: Resources

- The facility's resources, including but not limited to,
- (i) All buildings and/or other physical structures and vehicles;
  - (ii) Equipment (medical and non-medical);
  - (iii) Services provided, such as physical therapy, pharmacy, and specific rehabilitation therapies;
  - (iv) All personnel, including managers, staff (both employees and those who provide services under contract), and volunteers, as well as their education and/or training and any competencies related to resident care;
  - (v) Contracts, memorandums of understanding, or other agreements with third parties to provide services or equipment to the facility during both normal operations and emergencies; and
  - (vi) Health information technology resources, such as systems for electronically managing patient records and electronically sharing information with other organizations.

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### Component 1: Resident Population

- 1) The facility assessment must include:
- i. Number of residents and center's resident capacity
  - ii. Care required by the resident population, considering
    - o *Types of diseases and conditions*
    - o *Physical and cognitive disabilities*
    - o *Overall acuity*
    - o *Other pertinent facts present within the population*

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### Component 1: Resident Population

- iii. Staff competencies necessary to provide the level and types of care needed for resident population
- iv. Physical environment, equipment, services, and other physical plant considerations necessary to care for the resident population
- v. Any ethnic, cultural, or religious factors that may potentially affect the care provided, including activities and food and nutrition services

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### Component 2: Center Resources

2) The facility assessment must include:

- i. All buildings and/or other physical structures and vehicles
- ii. Equipment (medical and nonmedical)
- i. Services provided, such as physical therapy, pharmacy, and specific rehabilitation therapies

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### Component 2: Center Resources

- iv. All personnel, including managers, staff (both employees and those who provide services under contract), and volunteers, as well as their education and/or training and any competencies related to resident care
- v. Contracts, memorandums of understanding, or other agreements with third parties to provide services or equipment to the center during normal operations and emergencies
- vi. Health information technology resources, such as systems for electronically managing patient records and electronically sharing information with other organizations

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### Component 3: Risk Assessment

3) The facility assessment must include a center-based and community-based risk assessment, using an all hazards approach.

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### How often must it be reviewed and updated?

- As necessary
- At least annually
- Whenever there is, or the facility plans for, any change that would require a substantial modification to any part of the assessment.

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### How does the facility assessment connect to other requirements?

- The term facility assessment appears 11 times in the regulatory language of Part 483 itself and over 150 times in the entire final rule.
- Referenced in nursing services (483.35), behavioral health services (483.40), food and nutrition services (483.60), QAPI (483.75), infection control (483.80), and training requirements (483.95).

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### Facility Assessment and QAPI (483.75)

- The rule requires nursing centers to design a QAPI program that is ongoing, comprehensive, and addresses the full range of care and services provided by the center.
- Your QAPI program must document how your facility assessment (including your resident population and resources, as well as your risk assessment), is being used to inform your data collection, feedback, performance measurement, and monitoring.

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### Facility Assessment and Infection Control (483.80)

- Nursing centers must establish an infection prevention and control program (IPCP) that includes a system for preventing, identifying, reporting, investigating, and controlling infections and communicable diseases...based upon the facility assessment conducted according to 483.75(e) and following accepted national standards.
- You must be able to show how your assessment of your resident population and staffing has informed your IPCP.
  - *For example, how do resident characteristics including acuity and diagnoses inform your assessment of infection risks? How have you incorporated infection control skills and training into your assessment of staff competencies?*

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### Facility Assessment and Training Requirements (483.95)

- Nursing centers must develop, implement, and maintain an effective training program for all new and existing staff, individuals providing services under a contractual arrangement, and volunteers, consistent with their expected roles.
  - *Nursing centers must determine the amount and types of training necessary based on the facility assessment as specified at 483.75(e).*
  - *Training topics must include but are not limited to*
    - In-service training for nurse aides based on areas of need determined by facility assessment.
    - Behavioral health training based on areas of need determined by facility assessment.

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### Preparing for the Facility Assessment

- Break it down into small pieces and take it step by step - starting with your resident population (Component 1).
- Consider how it connects to your existing planning processes:
  - *What materials or information can you draw from current—formal or informal—strategic and/or business planning activities at your center?*
  - *What materials can you draw from current budget planning processes?*
  - *What documents do you already have that demonstrate expected staff competencies and training programs (e.g. annual training plans or curricula)?*

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### Preparing for the Facility Assessment

- Identify which key staff members are involved in making and contributing to important assessments and decisions about staffing needs and competencies, training, and about how resource needs are determined and met. Incorporate them into the planning process strategically.
- When appropriate, seek input from residents and their representatives or family members in determining residents' needs and wishes.
- Define a clear process for updating the assessment annually and whenever a triggering event requires substantial modification of the assessment. Incorporate them into the planning process strategically.

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Here is the link to the tool and data collection tool

<http://www.cahf.org/Programs/Regulatory/Facility-Assessment>

We will now go over the tool and data collection:

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Thank You

Questions?



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